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James D. Ryndak  
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Signature of Person Mailing Correspondence

Attorney Docket  
No. 40300 - 10121

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Glenn Roy et al.  
Appl. No.: 10/629,759  
Filed: 07/30/2003  
Title: PREVENTION OF SYNTHETIC COLOR FADING IN BEVERAGES USING BOTANICALLY DERIVED COLOR STABILIZERS  
Grp./A.U.: 1761  
Examiner: Not yet assigned  
Docket No. 40300-10121

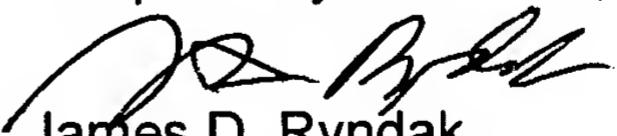
### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF A FIRST OFFICE ACTION ON THE MERITS (37 C.F.R. § 1.97(B)(3))

Mail Stop Amendments  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

The Information Disclosure Statement transmitted herewith is being filed under 37 C.F.R. §1.97(b)(3), i.e., before the mailing of a first Office Action on the merits. Applicants believe that no fees are due with this request. However, if fees are due, the Commissioner is authorized to charge such fees to Deposit Account No. 50-0503. A duplicate copy of this transmittal form is attached hereto.

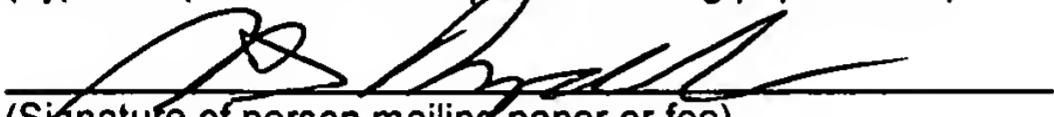
Respectfully submitted,

  
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James D. Ryndak  
(Typed or printed name of person mailing paper or fee)  
  
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## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached Form SB08A. If cited, copies of any of the cited foreign patents and translations or abstracts, if available, and publications and other information are enclosed.

The cited references are listed for:

the pending application and/or  those previously cited by the Office or submitted by Applicants in the following application upon which this application relies for an earlier filing date under 35 U.S.C. 120.

In re Appln. of Glenn Roy et al.  
Appln. No. 10/629,759  
Atty. Docket No. 40300-10121

although Applicants reserve the right to contest the prior art status of any document cited herein.

Pursuant to 37 C.F.R. 1.97(b), this Statement is being filed:  within three (3) months of the filing date of this application and no fee is required; or  before the mailing of the first Office Action and no fee is required; or  after the mailing of the first Office Action and the fee of \$180.00 is enclosed herewith.

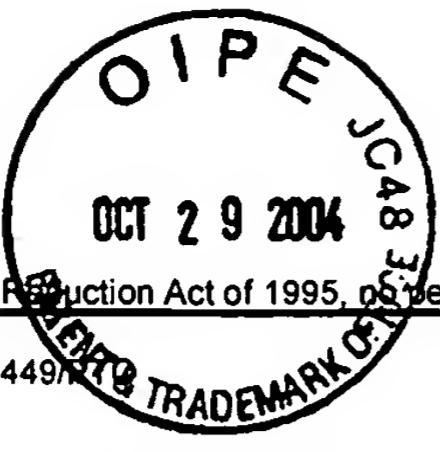
Respectfully submitted,

By   
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Reg. No. 28,754  
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Dated: October 26, 2004

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**Substitute for form 1449)**

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1

of 1

**Complete if Known**

**Application Number**

10/629 759

**Filing Date**

07/30/2003

**First Named Inventor**

Glenn Roy

## Art Unit

1761

**Examiner Name**

**Not Yet Assigned**

## **U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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